

CURRENT STATUS OF WORK IN AUDIT PLAN

AUDIT	STATUS	TARGET DATE FOR A&G COMMITTEE
Corporate & Cross-Cutting		
Annual Governance Statement & Governance Support	In progress	n/a
Asset Management	In progress	March 2019
Budgetary Control (incorporating children's social care funding and budget management)	Planning Commenced	June 2019
Corporate Complaints	Deferred	n/a
Data Quality	In progress	June 2019
Equalities	In progress	March 2019
GDPR Compliance	In progress	March 2019
Governance	Fieldwork Complete	March 2019
ICT – Asset Management	Fieldwork Complete	March 2019
ICT – Governance & Cyber Security	Fieldwork Complete	March 2019
ICT – Licence Management	Deferred ¹	n/a
Information Security	Reasonable Assurance	March 2019
Insurance	Deferred ¹	n/a
Multi-Agency Incident Planning	In progress	June 2019

¹ Initial work on the audit was undertaken during 2018/19. The work will be completed during 2019/20.

AUDIT	STATUS	TARGET DATE FOR A&G COMMITTEE
Procurement & Contract Management	Planning Commenced	June 2019
Project Management – Overall Arrangements	In progress	June 2019
Project Management – School Placement Planning	No Opinion Given	March 2019
Project Management – Housing ICT	Fieldwork Complete	June 2019
Workforce Planning	Fieldwork Complete	June 2019
Staff Parking (addition to plan)	Fieldwork Complete	March 2019
Bodyworn CCTV (addition to plan)	Draft report issued	March 2019
NHS Information Governance toolkit (addition to plan)	Not started	June 2019
Ward Committee Funding (addition to plan)	In progress	March 2019
Main Financial Systems		
Council Tax & NNDR	Deferred	n/a
Council Tax Support and Housing Benefits	In progress	March 2019
Debtors	In progress	March 2019
Housing Rents	Not started	June 2019
Main Accounting System	In progress	June 2019
Ordering and Creditor Payments	Draft report issued	March 2019
Payroll	Substantial Assurance	March 2019
VAT Accounting	Not started	June 2019

AUDIT	STATUS	TARGET DATE FOR A&G COMMITTEE
Health, Housing and Adult Social Care		
ASC Absence Management	Planning Commenced	June 2019
ASC Budget Management	Fieldwork Complete	March 2019
Continuing Healthcare	In progress	March 2019
CQC Improvement Plan	Complete – no report issued	December 2018
Housing Development	Deferred	n/a
Housing Fraud	Planning Commenced	June 2019
Public Health – Health Protection Standards	In progress	June 2019
Responsive Repairs	Not started	June 2019
Section 117 of the Mental Health Act (follow-up)	No Opinion Given	March 2019
Economy and Place		
Capital Projects	Not started	June 2019
Clean Air Data	High Assurance	September 2018
Contract Management – Allerton Park	In progress	June 2019
Contract Management – Park and Ride	Not started	June 2019
Waste Services – Procurement	Fieldwork Complete	March 2019
Section 106 Agreements – Education (addition to plan)	In progress	March 2019

AUDIT	STATUS	TARGET DATE FOR A&G COMMITTEE
Children, Education and Communities		
Adult Education Service	Reasonable Assurance	March 2019
Free Early Education Funding	Fieldwork Complete	March 2019
Schools themed audit – Budget Management	Draft report issued	March 2019
Schools themed audit – Information Governance	Fieldwork Complete	March 2019
Schools Funding	Deferred	n/a
Schools Maintenance Programme	Planning Commenced	June 2019
Services to Schools	Planning Commenced	June 2019
Wenlock Terrace	Reasonable Assurance	March 2019
Schools: St Wilfrid's Primary School Wigginton Primary School Clifton Green Primary School St Paul's Primary School	Draft report issued Draft report issued Fieldwork Complete Planning Commenced	December 2018 March 2019 March 2019 March 2019